



*Southern Association of Colleges and Schools
Commission on Colleges*

INSTITUTIONAL SUMMARY FORM PREPARED FOR SACSCOC REVIEWS

GENERAL INFORMATION

Name of Institution Western Kentucky University

Name, Title, Phone number, and email address of Accreditation Liaison

Beth Laves, Assistant Provost, Accreditation and Director of Academic Programs
Phone: 270-745-8985
Beth.laves@wku.edu

Name, Title, Phone number, and email address of Technical Support person for the Compliance Certification

Beth Laves, Assistant Provost, Accreditation and Director of Academic Programs
Phone: 270-745-8985
Beth.laves@wku.edu

IMPORTANT:

Accreditation Activity (*check one*):

- ☐ Submitted at the time of Reaffirmation Orientation
- ☒ Submitted with Compliance Certification for Reaffirmation
- ☐ Submitted with Materials for an On-Site Reaffirmation Review
- ☐ Submitted with Compliance Certification for Fifth-Year Interim Report
- ☐ Submitted with Compliance Certification for Initial Candidacy/Accreditation Review
- ☐ Submitted with Merger/Consolidations/Acquisitions
- ☐ Submitted with Application for Level Change

Submission date of this completed document:

September 8, 2024

EDUCATIONAL PROGRAMS

1. Level of offerings (Check all that apply)

- ☒ Diploma or certificate program(s) requiring less than one year beyond Grade 12
- ☐ Diploma or certificate program(s) of at least two but fewer than four years of work beyond Grade 12
- ☐ Associate degree program(s) requiring a minimum of 60 semester hours or the equivalent designed for transfer to a baccalaureate institution
- ☒ Associate degree program(s) requiring a minimum of 60 semester hours or the equivalent not designed for transfer
- ☒ Four or five-year baccalaureate degree program(s) requiring a minimum of 120 semester hours or the equivalent
- ☒ Professional degree program(s)
- ☒ Master's degree program(s)
- ☒ Work beyond the master's level but not at the doctoral level (such as Specialist in Education)
- ☒ Doctoral degree program(s)
- ☐ Other (Specify):

2. Types of Undergraduate Programs (Check all that apply)

- ☒ Occupational certificate or diploma program(s)
- ☒ Occupational degree program(s)
- ☐ Two-year programs designed for transfer to a baccalaureate institution
- ☒ Liberal Arts and General
- ☒ Teacher Preparatory
- ☒ Professional
- ☐ Other (Specify):

GOVERNANCE CONTROL

Check the appropriate governance control for the institution:

- ☐ Private (*check one*)
 - ☐ Independent, not-for-profit
 - Name of corporation OR
 - Name of religious affiliation and control:
 - ☐ Independent, for-profit *
 - If publicly traded, name of parent company:

- ☒ Public state * (*check one*)
- ☒ Not part of a state system, institution has own independent board
- ☐ Part of a state system, system board serves as governing board
- ☐ Part of a state system, system board is super governing board, local governing board has delegated authority
- ☐ Part of a state system, institution has own independent board

* *If an institution is part of a state system or a corporate structure, a description of the system operation must be submitted as part of the Compliance Certification for the decennial review. See Commission policy "Reaffirmation of Accreditation and Subsequent Reports" for additional direction.*

TITLE IV PARTICIPATION

Check the appropriate box below for the institution*:

- ☒ Institution participates in title IV, Higher Education Act programs
- ☐ Institution does NOT participate in title IV, Higher Education Act programs

* *If an institution has changed or plans to change its title IV participation, it is required to notify SACSCOC. See SACSCOC policy, "Title IV Program Responsibilities," for additional information and direction for responding to standards under the "Principles of Accreditation."*

INSTITUTIONAL INFORMATION FOR REVIEWERS

Directions:

Please address the following and attach the information to this form.

1. History and Characteristics

Provide a brief history of the institution, a description of its current mission, an indication of its geographic service area, and a description of the composition of the student population. Include a description of any unusual or distinctive features of the institution and a description of the admissions policies (open, selective, etc.). If appropriate, indicate those institutions that are considered peers. Please limit this section to one-half page.

Western Kentucky University (WKU), home of the Hilltoppers, is a campus known for its beauty and friendliness. It embraces a proud heritage and a bold, ambitious future. Founded in 1906 as Western Kentucky State Normal School, the new state-supported school merged with Southern Normal School, and classes began in 1907. The school relocated to its present location on "the Hill" in 1911, overlooking downtown Bowling Green. In 1922, the state renamed the institution Western Kentucky 3 Normal School and Teachers College and authorized it to offer four-year degrees. The first such degree was awarded in 1924. The institution then merged with Ogden College in 1927, a private school, for young men also located in the Hill. The school was renamed Western Kentucky State Teachers College in 1930, and in 1931 offered its first graduate degree. Another merger occurred mid-century with the Bowling Green College of Commerce in 1963 and in 1966, Western Kentucky State College became Western Kentucky University.

As stated in the mission and embraced by the current strategic agenda, Climbing to Greater Heights, WKU "prepares

students of all backgrounds to be productive, engaged, and socially responsible citizen-leaders of a global society. The University provides research, service and lifelong learning opportunities for its students, faculty, and other constituents. WKU enriches the quality of life for those within its reach.” Fall 2023 enrollment was 16,762 students (62.1% women), and 75.2% were from Kentucky, 23.8% were out of state, and 1% came from other countries. African Americans made up 8.4 % of the student population. WKU’s admissions policies for Fall 2023 were selective. Students with an unweighted high school GPA lower than 2.0 were considered ineligible for admission. Students with a GPA between 2.0 and 2.49 also had to achieve a composite admission index (CAI) score of at least 60. The CAI is calculated by multiplying a student’s unweighted high school GPA by 20 and adding the ACT composite. Students with a 2.50 unweighted high school GPA or greater were not required to submit ACT or SAT scores for admission purposes. Since Fall 2020, the entering freshman average high school GPA has risen from 3.44 to 3.49.

WKU is located in Bowling Green, Kentucky, a city with a population of more than 70,000 and is located approximately 110 miles south of Louisville and 65 miles north of Nashville, TN. In addition to the main campus, WKU also has facilities north of the main campus for the Health Sciences Complex and south of the main campus on 31-W that include the South Campus, the Center for Research and Development, and the University Farm. Outside of Bowling Green, WKU has regional campus instructional sites in Glasgow, Owensboro, Elizabethtown, and Ft. Knox, as well as seven off-campus instructional sites where less than 50% of credentials are offered.

WKU compares itself to a list of 18 benchmark institutions and to the other 8 Kentucky public institutions (see attached) on metrics such as enrollment, degrees awarded, retention rates, number of faculty and student/faculty ratio.

2. List of Degrees

List all degrees currently offered (A. S., B.A., B.S., M.A., Ph.D., for examples) and the majors or concentrations within those degrees, as well as all certificates and diplomas. For each credential offered, indicate the number of graduates in the academic year previous to submitting this report. Indicate term dates.

Degree	Program	2022-2023 Degrees
Graduate Certificate		
Certificate	Addictions Education, CER (#0492)	5
	Advanced Behavior Management, CER (#1736)	0
	Biology, CER (#0493)	2
	Career Services, CER (#0468)	0
	College and Career Readiness, CER (#1737)	1
	Communicating in Healthcare, CER (#0475)	5
	Communicating in Organizations, CER (#0471)	3
	Cybersecurity Data Analytics, CER (#1754)	4
	Data Analytics, CER (#1753)	12
	Dietetic Practice, CER (#0451)	2
	Economic Data Analytics, CER (#0491)	2
	Elementary Math Specialization, P-5, CER (#0485)	11
	Emergency Management Disaster Science, CER (#1749)	5
	Enterprise Management, CER (#1777)	0
	Epidemiology, CER (#1751)	6
	Executive Decision Making, CER (#1778)	0
	Facility and Event Management, CER (#0455)	7
	Family Nurse Practitioner (Post MSN), CER (#0449)	1
	Gifted Education and Talent Development, CER (#1764)	3
	Global Health Administration, CER (#1735)	0
	Health Education, CER (#0494)	7
	Instructional Design, CER (#0418)	4
	Intercollegiate Athletic Administration, CER (#0481)	7
	International Student Services, CER (#0415)	3
	Lean Sigma, CER (#0452)	0
	Literacy P-12, CER (#1750)	1
	Literacy in Post-secondary Settings, CER (#0462)	0
	Local Government Administration, CER (#1745)	1

	Marketing and Sales, CER (#1786) (new in Fall 2024)	0
	Nonprofit Administration, CER (#0463)	5
	Occupational Safety and Health, CER (#1779)	4
	Organizational Leadership, CER (#1723)	4
	Psychiatric Mental Health Nurse Practitioner, CER (#0479)	14
	School Social Work, CER (#1789) (new in Fall 2024)	0
	Scientific Data Analytics, CER (#0496)	0
	Supply Chain Management, CER (#1772)	4
	Teaching English to Speakers of Other Languages, CER (#0416)	0
	Trauma and Resilience, CER (#1787) (new in Fall 2024)	0
	Workplace Health Promotion, CER (#1746)	0
Master's		
MA	Applied Economics, MA (#0410)	4
	English, MA (#067)	4
	History, MA (#078)	10
	Mathematics, MA (#049)	8
	Organizational Communication, MA (#0012)	4
	Organizational Leadership, MA (#0467)	74
	Psychology, MA (#092)	7
MACC	Accountancy & Data Analysis, MACC (#0501)	8
MAE	Advanced Teacher Education, MAE (#0500)	25
	Counseling, MAE (#043)	15
	Gifted Education and Talent Development, MAE (#0482)	2
	Instructional Leadership, School Principal, MAE (#0499)	26
	Interdisciplinary Early Childhood Education, Birth to Primary, MAE (#0461)	4
	Literacy Education, MAE (#044)	8
	Special Education: Learning and Behavioral Disorders, MAE (#0457)	9
	Special Education: Moderate and Severe Disabilities, MAE (#0438)	11
	Student Affairs in Higher Education, MAE (#145)	13
MAT	Interdisciplinary Early Childhood Education, Birth to Primary, Initial Certification, MAT (#0460)	13
	Middle Grades Education for Initial Certification, MAT (#0458)	0
	Special Education for Initial Certification, MAT (#0456)	18
	Teacher Education for Initial Certification, MAT (#0495)	45
MBA	Business Administration, MBA (#057)	64
MFA	Creative Writing, MFA (#0478)	7
MHA	Health Administration, MHA (#153)	8
MM	Music, MM (#0453)	7
MPA	Public Administration, MPA (#051)	7
MPH	Public Health, MPH (#152)	15
MS	Agriculture, MS (#052)	2
	Biology, MS (#056)	15
	Chemistry, MS (#059)	5
	Computer Science, MS (#117)	6
	Cybersecurity Data Analytics, MS (#0502)	3
	Engineering Management, MS (#0447)	4
	Environmental and Occupational Health Science, MS (#0473)	6
	Geoscience, MS (#072)	8
	Homeland Security Sciences, MS (#0413)	4
	Instructional Design, MS (#0428)	8
	Kinesiology, MS (#0454)	5

	Libraries, Informatics, and Technology in Education, MS (#0497)	30
	Mathematics, MS (#085)	3
	Psychology, MS (#0469)	12
	Recreation and Sport Administration, MS (#095)	33
	Speech-Language Pathology, MS (#0466)	65
MSN	Nursing, MSN (#149)	13
MSW	Social Work, MSW (#157)	54
Specialist		
EDS	Gifted Education/Talent Development & Advanced Instructional Practice, EDS (#0503)	0
	School Psychology, EDS (#147)	10
Doctorate		
DNP	Nursing Practice, DNP (#0011)	11
DPT	Physical Therapy, DPT (#0013)	27
EDD	Educational Leadership, EDD (#0010)	13
PSYD	Applied Psychology, PSYD (#0476)	1
Undergraduate Certificate		
CER	Accredited Financial Counselor, CER (#1758)	0
	Advanced Professionalism, CER (#1730)	5
	Applied Data Analytics, CER (#1734)	115
	Artificial Intelligence and Analytics (AIA), CER (#1783) (new in Fall 2024)	0
	Athletic Coaching, CER (#1770)	2
	Brewing and Distilling Arts & Sciences, CER (#1733)	6
	Chinese Studies, CER (#1755)	3
	Citizenship and Social Justice, CER (#1759)	1
	Creativity and Innovation Management, CER (#1773)	0
	Deaf Studies, CER (#1738)	1
	Digital Advertising, CER (#1768)	13
	Early Childhood Education Director, CER (#1739)	2
	Economic Data Analytics, CER (#1785) (new in Fall 2024)	0
	Emergency Management Disaster Science, CER (#1748)	14
	Environmental Health, CER (#1743)	1
	Esports Management, CER (#1766)	1
	Executive Influence in Organizations, CER (#1774)	1
	Family Home Visiting, CER (#1701)	16
	Financial Planning, CER (#200)	7
	Floristry, CER (#1769)	6
	Food Service Design, CER (#1784) (new in Fall 2024)	0
	Game Design, CER (#1761)	9
	Geographic Information Systems, CER (#174)	23
	Graphic Design, CER (#1762)	12
	Health Education and Health Promotion, CER (#1741)	1
	Health Informatics, CER (#1740)	10
	Interactive Training and Leadership, CER (#1752)	48
	Interdisciplinary Professionalism and Success, CER (#1765)	0
	Land Surveying, CER (#1700)	8
	Language Certificate in Spanish, CER (#1771)	6
	Long-Term Care Administration, CER (#1717)	13
	Managing Inclusive Organizations, CER (#1775)	1
	Occupational Safety and Health, CER (#1705)	4
	Organizational Leadership, CER (#1721)	65
	Paralegal Studies, CER (#1757)	6
	Personal Branding, CER (#1788) (new in Fall 2024)	0

	Professional and Technical Writing, CER (#1780)	1
	Public Health, CER (#1742)	0
	Real Estate, CER (#195)	6
	Sales Certificate, CER (#1776)	5
	Sport Media and Communication, CER (#1790) (new in Fall 2024)	0
	Strategic Communications, CER (#1767)	23
	Strategies for Trauma-Informed Approaches to Improving Resilience, CER (#1781)	1
	Teaching English to Speakers of Other Languages, CER (#1782)	4
	User Experience, CER (#1747)	14
	Workplace Communication, CER (#1760)	15
	Worksite Health Promotion, CER (#1707)	1
Associate		
AA	Organizational Supervision, AA (#2000)	1
AIS	Interdisciplinary Studies, AIS (#246)	50
AS	Dental Hygiene, AS (#226)	0
ASN	Nursing, ASN (#273)	114
Baccalaureate		
AB	Advertising, AB (#727)	24
	Anthropology, AB (#608)	20
	Art History, AB (#613)	3
	Asian Studies, AB (#6002)	0
	Broadcasting, AB (#726)	29
	Chinese, AB (#624)	10
	Communication, AB (#6003)	0
	Criminology, AB (#627)	118
	Dance, AB (#630)	10
	Economics, AB (#638)	10
	English for Secondary Teachers, AB (#561)	3
	English, AB (#662)	35
	Film, AB (#667)	16
	History, AB (#695)	36
	History, AB (#695E)	0
	Honors Self-Designed Studies (minor required), AB (#633)	0
	Honors Self-Designed Studies, AB (#566)	0
	International Affairs, AB (#702)	15
	Journalism, AB (#736)	16
	Legal Studies, AB (#6001)	3
	Mathematics (minor required), AB (#728)	4
	Mathematics, AB (#528)	2
	Music (Liberal Arts), AB (#583)	10
	Philosophy, AB (#745)	7
	Political Science, AB (#686)	48
	Professional Legal Studies, AB (#6000)	8
	Psychology, AB (#760)	144
	Public Relations, AB (#763)	35
	Religious Studies, AB (#769)	5
	Social Studies, AB (#592)	10
	Sociology, AB (#775)	45
	Spanish, AB (#778)	25
	Theatre, AB (#798)	16
	Visual Journalism and Photography, AB (#752)	0
	Visual Studies, AB (#509)	23
BFA	Film Production, BFA (#530)	17
	Performing Arts, BFA (#588)	17

	Visual Arts, BFA (#514)	26
BIS	Interdisciplinary Studies, BIS (#558)	137
BM	Music, BM (#593)	21
BS	Accounting, BS (#602)	56
	Agriculture, BS (#508)	54
	Architectural Science, BS (#518)	24
	Biochemistry, BS (#519)	15
	Biology (minor required), BS (#617)	72
	Biology, BS (#525)	22
	Business Data Analytics, BS (#504)	40
	Business Economics, BS (#724)	20
	Chemistry, BS (#623)	15
	Child and Family Services, BS (#5011)	2
	Civil Engineering, BS (#534)	28
	Communication Disorders, BS (#595)	34
	Computer Information Technology, BS (#555)	50
	Computer Science, BS (#629)	29
	Construction Management, BS (#533)	36
	Data Science, BS (#5012) (new in Fall 2024)	0
	Dental Hygiene, BS (#524)	31
	Electrical Engineering, BS (#537)	23
	Elementary Education, BS (#527)	114
	Engineering Technology Management, BS (#5007)	25
	Environmental and Occupational Health Science, BS (#548)	6
	Environmental, Sustainability, and Geographic Studies, BS (#5009)	21
	Exercise Science, BS (#554)	65
	Finance, BS (#664)	69
	Geological Sciences, BS (#5008)	9
	Health Care Administration, BS (#559)	58
	Health Information Management, BS (#529)	9
	Health Sciences, BS (#564)	61
	Honors Self-Designed Studies (minor required), BS (#634)	1
	Honors Self-Designed Studies, BS (#539)	0
	Hospitality Management and Dietetics, BS (#707)	27
	Interdisciplinary Early Childhood Education, BS (#526)	8
	Interior Design and Fashion Merchandising, BS (#531)	47
	Management, BS (#723)	185
	Manufacturing Engineering Technology, BS (#5006)	13
	Marketing, BS (#720)	108
	Mathematical Economics, BS (#731)	9
	Mechanical Engineering, BS (#543)	44
	Medical Laboratory Science, BS (#5004)	6
	Meteorology, BS (#578)	5
	Middle Grades Mathematics, BS (#730)	3
	Middle Level Education Social Studies/Language Arts, BS (#5001)	18
	Middle School Science Education, BS (#734)	1
	Military Leadership, BS (#733)	0
	Molecular Biotechnology, BS (#738)	4
	Organizational Leadership, BS (#545)	28
	Organizational Leadership, BS (#545E)	0
	Physical Education, BS (#587)	20
	Physics, BS (#754)	4
	Psychological Science, BS (#747)	31

	Psychological Science, BS (#747E)	6
	Public Health, BS (#521)	9
	Recreation, Park, and Nonprofit Administration, BS (#5010)	8
	Science and Mathematics Education, BS (#774)	7
	Special Education: Learning and Behavioral Disorders and Elementary Education, BS (#5003)	13
	Sport Management, BS (#572)	51
	Workforce Administration, BS (#739)	9
	Nursing, BSN (#586)	146
BSW	Social Work, BSW (#594)	90

Does the institution offer any credit, non-credit, or pathways English as a Second Language (ESL) programs? If yes, list the programs.

3. Off-Campus Instructional Locations and Branch Campuses

List ***all approved off-campus instructional locations*** where 25% or more credit hours toward a degree, diploma, or certificate can be obtained primarily through traditional classroom instruction. Report those locations in accord with the Commission's definitions and the directions as specified below.

Table 1: Off-campus instructional sites—a site located geographically apart from the main campus at which the institution offers ***50 % or more*** of its credit hours for a diploma, certificate, or degree. This includes high schools where courses are offered as part of dual enrollment. For each site, provide the information below. ***The list should include only those sites reported to and approved by SACSCOC.*** Listing unapproved sites below does not constitute reporting them to SACSCOC. In such cases when an institution has initiated an off-campus instructional site as described above without prior approval by SACSCOC, a prospectus for approval should be submitted immediately to SACSCOC.

Name of Site	Physical Address (street, city, state, country) Do not include PO Boxes.	Date of SACSCOC approval letter	Date Implemented by the institution	Educational programs offered (specific degrees, certificates, diplomas) with 50% or more credits hours offered at each site	Is the site currently active? (At any time during the past 5 years, have students been enrolled and courses offered? If not, indicate the date of most recent activity.)
WKU in Elizabethtown	610 College Street Road, Elizabethtown, KY 42701	12/2/2005	1991	A.B. in Psychology B.S. in Health Sciences B.I.S. in Interdisciplinary Studies B.S. in Elementary Education B.S. in Middle Grades Lang Arts/Soc Studies B.S.W. in Social Work	Yes
WKU at Fort Knox	Layaou Hall, Bldg 65, Room 103, Ft. Knox, KY 40121	12/2/2005	1973		Yes
WKU in Glasgow	500 Hilltopper Way, Glasgow, KY 42141	12/2/2005	1988	A.I.S. in Interdisciplinary Studies A.B. in Psychology B.S. in Health Sciences B.I.S. in Interdisciplinary Studies B.S. in Elementary Education B.S. in Middle Grades Lang Art/Soc Studies	Yes
WKU in Owensboro	4821 New Hartford Road, Owensboro, KY 42303	12/2/2005	1980	A.B. in Psychology B.S. in Health Sciences B.I.S. in Interdisciplinary Studies B.S. in Elementary Education B.S. in Middle Grades Lang Art/Soc Studies B.S.W. in Social Work	Yes

Table 2: Off-campus instructional sites at which the institution offers **25-49%** of its credit hours for a diploma, certificate, or degree—including high schools where courses are offered as dual enrollment. **Note: institutions are required to notify SACSCOC in advance of initiating coursework at the site.** For each site, provide the information below.

Name of Site (Indicate if site is currently active or inactive. If inactive, date of last course offerings and date of projected reopening)	Physical Address (street, city, state, country) Do not include PO Boxes.	Date of SACSCOC letter accepting notification	Date Implemented by the institution	Educational programs offered (specific degrees, certificates, diplomas) with 25-49% credit hours offered at each site	Is the site currently active? (At any time during the past 5 years, have students been enrolled and courses offered? If not, indicate the date of most recent activity.)
Casey Center	1031 Hustonville Street Liberty, KY 42539	02/21/2022	08/22/2022	B.S. in Elementary Education	Yes
Clinton Center	1273 KY Highway 90 W Albany, KY 42602	02/21/2022	08/22/2022	B.S. in Elementary Education	Yes
Fayette County Board of Education	450 Park Place Lexington, KY 40511	02/21/2022	07/05/2022	M.A.E. in Instructional Leadership, School Principal	Yes
McCreary Center	141 College Street Whitley City, KY 42653	02/21/2022	08/22/2022	B.S. in Elementary Education	Yes
Russell Center	848 W. Steve Wariner Drive Russell Springs, KY 42642	02/21/2022	08/22/2022	B.S. in Elementary Education	Yes
Somerset Community College	808 Monticello Street Somerset, KY 42501	08/08/2019	08/24/2020	B.S. in Criminology B.S. in Elementary Education B.S. in Middle Grades Mathematics	Yes
Somerset Community College Laurel Campus	100 University Drive London, KY 40741	02/21/2022	08/22/2022	B.S. in Elementary Education	Yes

Table 3: Branch campus—an instructional site located geographically apart and independent of the main campus of the institution. A location is independent of the main campus if the location is (1) permanent in nature, (2) offers courses in educational programs leading to a degree, certificate, or other recognized educational credential, (3) has its own faculty and administrative or supervisory organization, and (4) has its own budgetary and hiring authority. **The list should include only those branch campuses reported to and approved by SACSCOC.** Listing unapproved branch campuses below does not constitute reporting them to SACSCOC. A prospectus for an unapproved branch campuses should be submitted immediately to SACSCOC.

Western Kentucky University does not maintain any branch campuses.

Name of Branch Campus	Physical Address (street, city, state, country) Do not include PO Boxes.	Date of SACSCOC approval letter	Date Implemented by the institution	Educational programs (specific degrees, certificates, diplomas) with 50% or more credits hours offered at the branch campus	Is the campus currently active? (At any time during the past 5 years, have students been enrolled and courses offered? If not, indicate the date of most recent activity.)

4. Distance and Correspondence Education

Provide an initial date of approval for your institution to offer distance education. Provide a list of credit-bearing educational programs (degrees, certificates, and diplomas) where 50% or more of the credit hours are delivered through distance education modes. For each educational program, indicate whether the program is delivered using synchronous or asynchronous technology, or both. For each educational program that uses distance education technology to deliver the program at a specific site (e.g., a synchronous program using interactive videoconferencing), indicate the program offered at each location where students receive the transmitted program. Please limit this description to one page, if possible.

Initial Date of approval for distance education: December 2, 2005.

The following table represents all of WKU's educational programs where 50% or more of the hours (exclusive of general education) can be earned via distance education for 2023-2024.

A designates Asynchronous

S designates Synchronous

B designates both Asynchronous and Synchronous are used to deliver a program

A designation under an off-campus instructional site indicates delivery at that location

Degree	Academic Programs	>50% Distance Education
AA	Organizational Supervision	A
AIS	Interdisciplinary Studies	A, B Glasgow Regional Center
ASN	Nursing	A
AB	Advertising	A
	Communication Studies	A
	Criminology	A
	Economics	A
	History	A
	Professional Legal Studies	A
	Psychology	A, B at Elizabethtown, Glasgow Regional Center, and Owensboro
	Social Studies	A
	Sociology	A
BIS	Interdisciplinary Studies	A, B at Elizabethtown, Glasgow Regional Center, and Owensboro
BS	Business Data Analytics	A
	Business Economics	A
	Child and Family Services	A
	Computer Information Technology	A
	Engineering Technology Management	A
	Environmental and Occupational Health Science	A
	Health Care Administration	A
	Health Information Management	A
	Health Sciences	A, B at Elizabethtown, Glasgow Regional Center, and Owensboro
	Manufacturing Engineering Technology	A
	Marketing	A
	Middle Level Education Social Studies/Language Arts	A, B at Elizabethtown, Glasgow Regional Center, and Owensboro
	Organizational Leadership	A
	Public Health	A
	Workforce Administration	A
BSW	Social Work	A, B at Elizabethtown, Owensboro
MA	Applied Economics	A
	History	A
	Mathematics	A
	Organizational Leadership	A
MAE	Advanced Teacher Education	A
	Counseling	A
	Gifted Education and Talent Development	A
	Instructional Leadership, School Principal	A
	Interdisciplinary Early Childhood Education	A
	Literacy Education	A
	Special Education: Learning and Behavioral Disorders	A
	Special Education: Moderate and Severe Disabilities	A
MAT	Interdisciplinary Early Childhood Education,	A

	Birth to Primary, Initial Certification	
	Middle Grades Education for Initial Certification (closed 8/15/2024)	A
	Special Education for Initial Certification	A
	Teacher Education for Initial Certification	A
MAcc	Accountancy & Data Analysis	A
MBA	Business Administration	A
MHA	Health Administration	A
MPH	Public Health	A
MS	Biology	A
	Child and Family Studies (closed 1/15/2024)	A
	Cybersecurity Data Analytics	A
	Engineering Management	A
	Environmental and Occupational Health Science	A
	Instructional Design	A
	Kinesiology	A
	Libraries, Informatics, and Technology in Education	A
	Recreation and Sport Administration	A
	Speech-Language Pathology	A
MSN	Nursing	A
MSW	Social Work	A
EdS	Gifted Education and Talent Development	A
EdD	Educational Leadership	A
DNP	Nursing Practice	A
Graduate Certificate	Addictions Education	A
	Adult Education (closed 8/1/2024)	A
	Advanced Behavior Management	A
	Biology	A
	Career Services	A
	College and Career Readiness	A
	Communicating in Healthcare	A
	Communicating in Organizations	A
	Cybersecurity Data Analytics	A
	Data Analytics	A
	Economic Data Analytics	A
	Elementary Math Specialization, P-5	A
	Emergency Management Disaster Science	A
	Enterprise Management	A
	Epidemiology	A
	Executive Decision Making	A
	Facility and Event Management	A
	Global Health Administration	A
	Health Education	A
	Instructional Design	A
	Intercollegiate Athletic Administration	A
	International Student Services	A
	Lean Sigma	A
	Literacy P-12	A
	Literacy in Post-secondary Settings	A
	Local Government Administration	A
	Nonprofit Administration	A
	Occupational Safety and Health	A
	Organizational Leadership	A
	Psychiatric Mental Health Nurse Practitioner	A

	Supply Chain Management	A
	Teaching English to Speakers of Other Languages	A
	Workplace Health Promotion	A
Undergraduate Certificate	Advanced Professionalism	A
	Applied Data Analytics	A
	Brewing and Distilling Arts & Sciences	A
	Creativity and Innovation Management	A
	Deaf Studies	A
	Digital Advertising	A
	Early Childhood Education Director	A
	Emergency Management Disaster Science	A
	Environmental Health	A
	Executive Influence in Organizations	A
	Family Home Visiting	A
	Geographic Information Systems	A
	Health Education and Health Promotion	A
	Health Informatics	A
	Interactive Training and Leadership	A
	Long-Term Care Administration	A
	Managing Inclusive Organizations	A
	Occupational Safety and Health	A
	Organizational Leadership	A
	Public Health	A
	Real Estate	A
	Sales Certificate	A
	Strategies for Trauma-Informed Approaches to Improving Resilience	A
	Teaching English to Speakers of Other Languages	A
	Worksite Health Promotion	A

5. Accreditation

- (1) List all agencies that currently accredit the institution and any of its programs and indicate the date of the last review by each.

Academic Program	Degree Level	Accreditor	Most Recent Review Year
Western Kentucky University	Institution	Southern Association of Colleges and Schools Commission on Colleges (SACSCOC)	2015
Gordon Ford College of Business	Certificate, BS, MA, MS, MAcc, MBA	The Association to Advance Collegiate Schools of Business (AACSB International)	2023
Computer Science	BS	Computing Accreditation Commission of ABET	2017
Civil Engineering	BS	Engineering Accreditation Commission of ABET	2022
Electrical Engineering	BS	Engineering Accreditation Commission of ABET	2022
Mechanical Engineering	BS	Engineering Accreditation Commission of ABET	2022
Broadcasting, Journalism, Photojournalism, Advertising, Public Relations	AB	Accrediting Council on Education in Journalism and Mass Communications (ACEJMC)	2023
Nursing	ASN	Accreditation Commission for Education in Nursing (ACEN)	2023
Hospitality Management	BS	Accreditation Council for Education in Nutrition	2022

and Dietetics (Nutrition and Dietetics concentration)	Dietetic Practice GR Certificate	and Dietetics (ACEND)	
Architectural Science, Construction Management, Manufacturing Technology	BS	The Association of Technology, Management, and Applied Engineering (ATMAE)	2020
Engineering Technology Management	MS	The Association of Technology, Management, and Applied Engineering (ATMAE)	2020
Speech-Language Pathology	MS	American Speech-Language-Hearing Association Council on Academic Accreditation in Audiology and Speech-Language Pathology (ASHA CAA)	2021
Clinical Mental Health Counseling, Marriage, Couple and Family Counseling	MAE	Council for Accreditation of Counseling and Related Educational Programs (CACREP)	2022
Teacher Education	BS, MAT, MAE, EDS	Council for the Accreditation of Educator Preparation (CAEP)	2024
Health Information Management	BS	Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM)	2023
Physical Therapy	DPT	Commission on Accreditation in Physical Therapy Education (CAPTE)	2021
Nursing	BSN, MSN, DNP	Commission on Collegiate Nursing Education (CCNE)	2017
Public Health	BS, MPH	Council on Education for Public Health (CEPH)	2024
Recreation Administration	BS	Council on Accreditation of Parks, Recreation, Tourism and Related Professions (COAPRT)	2023
Dental Hygiene	AS, BS	Commission on Dental Accreditation of American Dental Association (CODA-ADA)	2019
Social Work	BSW, MSW	Council on Social Work Education (CSWE)	2018
Environmental and Occupational Health Science	BS	The National Environmental Health Science and Protection Accreditation Council (EHAC)	2022
Environmental and Occupational Health Science	MS	The National Environmental Health Science and Protection Accreditation Council (EHAC)	2019
Teacher Education Programs that lead to Certification	AB, BS, BM, MAT, MAE, Ed.S.	Kentucky's Educational Professional Standards Board (EPSB)	2024
Healthcare Administration	BS, LTCA Certificate	National Association of Long-Term Care Administrator Boards (NAB)	2019
Child and Family Services	BS	National Association for the Education of Young Children (NAEYC)	2017
Art History, Visual Studies (Art Education and Studio concentrations), Visual Arts (Graphic Design and Studio concentrations), Fine Arts (Animation, User Experience Design), Film	AB, BFA, BS	National Association of Schools of Art and Design (NASAD)	2015

Production, Interior Design and Fashion Merchandising			
Dance	AB	National Association of Schools of Dance (NASD)	2018
Music	AB, BM, MM	National Association of Schools of Music (NASM)	2022
Theatre, Performing Arts	AB, BFA	National Association of Schools of Theatre (NAST)	2023
Public Administration	MPA	Network of Schools of Public Policy, Affairs, and Administration (NASPAA)	2023

- (2) If SACS Commission on Colleges is not your primary accreditor for access to USDE Title IV funding, identify which accrediting agency serves that purpose.

SACSC Commission on Colleges is the primary accreditor for Western Kentucky University.

- (3) List any USDE-recognized agency (national and programmatic) that has terminated the institution's accreditation (include the date, reason, and copy of the letter of termination) or list any agency from which the institution has voluntarily withdrawn (include copy of letter to agency from institution).

No USDE-recognized agency (national or programmatic) has terminated Western Kentucky University's accreditation nor has WKU voluntarily withdrawn from any USDE-recognized agency.

- (4) Describe any sanctions applied or negative actions taken by any USDE-recognized accrediting agency (national, programmatic, SACSCOC) during the two years previous to the submission of this report. Include a copy of the letter from the USDE-recognized agency to the institution.

No USDE recognized accrediting agency (national, programmatic, SACSCOC) has applied sanctions or negative actions against WKU.

6. Relationship to the U.S. Department of Education

Indicate any limitations, suspensions, or termination by the U.S. Department of Education in regard to student financial aid or other financial aid programs during the previous three years. Report if on reimbursement or any other exceptional status in regard to federal or state financial aid.

Western Kentucky University has not had any limitations, suspensions, or terminations by the United States Department of Education in regard to student financial aid programs during the previous three years.

Documentation affirming the University's status to award Federal Student Aid includes the most recent United States Department of Education Program Participation Agreement approval letter (11/21/2023), Eligibility and Certification Approval Report (ECAR), and the countersigned Program Participation Agreement (PPA) (expires September 30, 2029).

WKU has not made any reimbursements or dealt with any other exceptional status in regard to Federal or State financial aid.

Attachments:

Factbook pages: Benchmarks and KY Publics
Program Participation Agreement approval letter
Eligibility and Certification Approval Report (ECAR)
Program Participation Agreement (PPA)

Document History

*Adopted: September 2004
Revised: March 2011
Revised: January 2014
Revised: January 2018
Edited: February 2020
Edited: February 2022*



BENCHMARK INSTITUTIONS

Benchmark University Comparison¹

	Fall 2023 Enrollment		AY 2022/23 Degrees Awarded		Freshman Retention Rate	Number of Full-Time Faculty	Student/Faculty Ratio
	Undergraduate	Graduate	Baccalaureate	Master's			
★ Western Kentucky University	14,590²	2,169	2,526	697	78%	638	18 to 1
1. Appalachian State University, NC	19,405	1,848	4,005	818	85%	1,087	16 to 1
2. Ball State University, IN	14,874	5,566	3,038	2,116	77%	919	15 to 1
3. Bowling Green State University, OH	14,014	3,013	2,898	1,225	80%	737	17 to 1
4. Central Michigan University, MI	10,079	4,344	2,503	1,306	73%	598	16 to 1
5. East Carolina University, NC	21,328	5,457	4,935	1,428	82%	1,139	17 to 1
6. East Tennessee State University, TN ⁴	10,553	2,421	2,470	681	72%	814	15 to 1
7. Florida Atlantic University, FL	24,718	6,131	5,637	1,627	84%	919	23 to 1
8. Illinois State University, IL ³	18,450	2,539	4,429	727	81%	908	19 to 1
9. Indiana State University, IN	6,669	1,636	1,567	454	69%	363	17 to 1
10. James Madison University, VA	21,008	1,752	4,467	729	90%	1,046	17 to 1
11. Middle Tennessee State University, TN	17,668	2,515	3,867	929	77%	910	17 to 1
12. Northern Illinois University, IL	11,358	3,832	2,623	1,209	65% ⁴	805 ⁴	15 to 1
13. Ohio University, OH	18,717	5,837	4,937	2,151	83%	851	18 to 1
14. Towson University, MD	16,517	3,010	4,064	844	83%	912	14 to 1
15. University of North Carolina at Charlotte	23,981	6,317	5,534	2,033	84%	1,083	21 to 1
16. University of North Carolina at Greensboro	14,156	3,587	3,316	1,014	76%	786	17 to 1
17. University of South Alabama, AL	8,914	4,854	1,809	1,258	76%	549	19 to 1
18. University of Southern Mississippi, MS	10,012	3,098	2,578	871	71%	687	18 to 1

¹ Western Kentucky University benchmarks itself against 18 institutions to gauge progress toward its strategic goals. Information was obtained from each institution's 2023-2024 Common Data Set, unless separately footnoted.

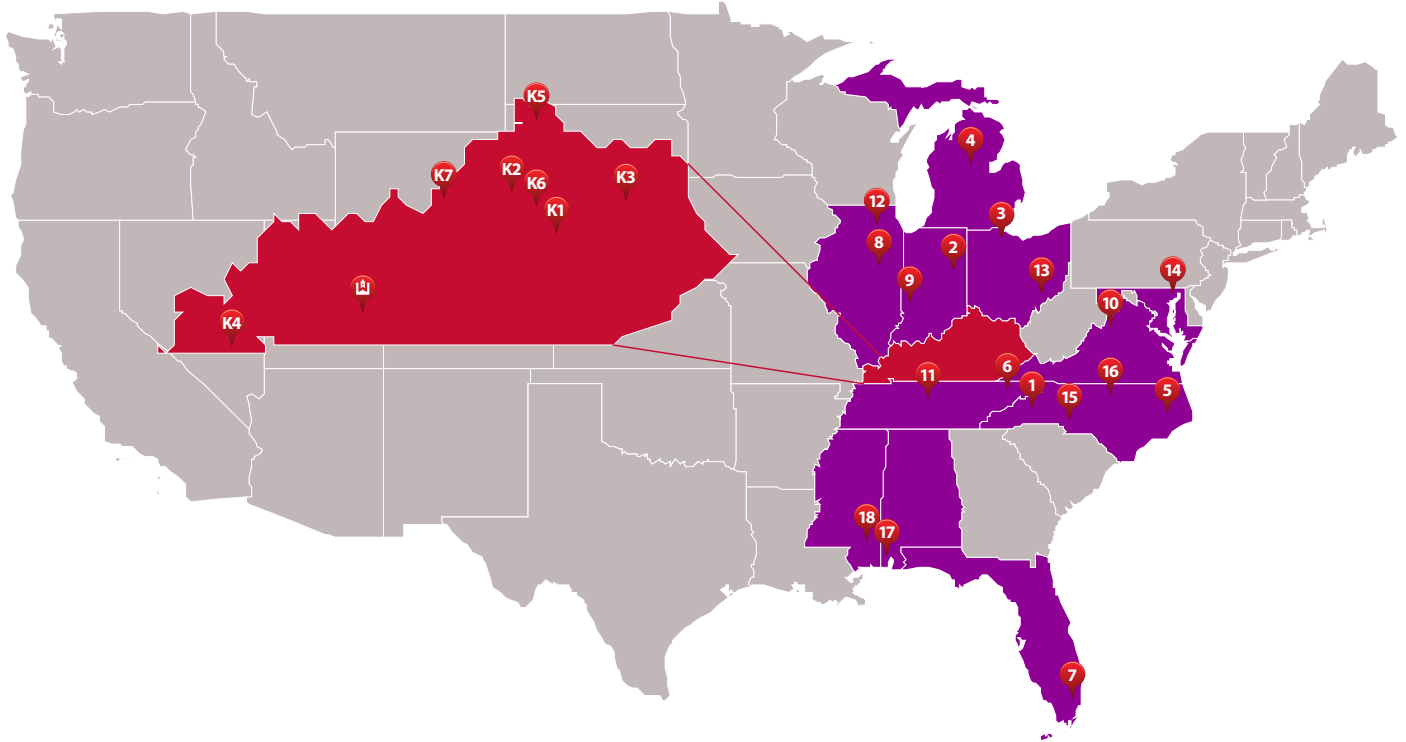
² WKU enrollment figure excludes audit-only students.

³ Because a Common Data Set was unavailable, statistics were obtained from the university's website and IPEDS.

⁴ Because a 2023-2024 Common Data Set was unavailable, statistics are from one year prior.



MAP OF BENCHMARKS & KY PUBLICS



KENTUCKY PUBLIC INSTITUTIONS

Kentucky Public University Comparison¹

	Fall 2023 Enrollment		AY 2022/23 Degrees Awarded		Freshman Retention Rate	Number of Full-Time Faculty	Student/Faculty Ratio
	Undergraduate	Graduate	Baccalaureate	Master's			
★ Western Kentucky University	14,590²	2,169	2,526	697	78%	638	18 to 1
K1. Eastern Kentucky University	12,726	2,282	2,264	737	80%	561	17 to 1
K2. Kentucky State University	1,546	143	153	43	61%	73	13 to 1
K3. Morehead State University ³	8,059	560	1,102	227	68%	294	14 to 1
K4. Murray State University	8,111	1,746	1,603	581	76%	438	16 to 1
K5. Northern Kentucky University ³	10,069	4,916	2,076	1,279	77%	599	17 to 1
K6. University of Kentucky ³	23,971	8,784	5,062	1,571	85%	1,396	17 to 1
K7. University of Louisville	16,194	5,945	2,938	1,762	81%	887	14 to 1

¹ Data obtained from each institution's 2023-2024 Common Data Set and Kentucky Council on Postsecondary Education Data Tables, unless separately footnoted.

² WKU enrollment figure excludes audit-only students.

³ Because a 2023-2024 Common Data Set was unavailable, statistics are from one year prior.



FEDERAL STUDENT AID  START HERE. GO FURTHER.™

UNITED STATES DEPARTMENT OF EDUCATION

FEDERAL STUDENT AID
SCHOOL ELIGIBILITY SERVICE GROUP
SCHOOL PARTICIPATION TEAM
KANSAS CITY TEAM

Dr. Timothy C. Caboni
President
Western Kentucky University
1906 College Heights Blvd
Bowling Green, KY 42101-3576

11/21/2023

OPE ID 00200200

Dear Dr. Caboni:

The Kansas City School Participation Division (SPD) is pleased to inform you that, based upon the information included in your Application for Approval to Participate in the Federal Student Financial Aid Programs, the Secretary of Education (Secretary) has determined that Western Kentucky University (Institution) satisfies the definition of an eligible institution under the Higher Education Act of 1965, as amended (HEA). Western Kentucky University will be listed in the next edition of the Directory of Postsecondary Institutions published by the U.S. Department of Education (Department).

OPE ID NUMBER

The OPE ID Number 00200200 is a unique identifier for the Institution. The OPE ID Number will also be the Institution's identification number for the Title IV, HEA programs. Please use the OPE ID Number in all communications with the Department.

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

Please save a copy of the **Eligibility and Certification Approval Report (ECAR)**. Together, the **Program Participation Agreement (PPA)** that has been signed on behalf of the Secretary and the ECAR constitute the Department's determination that the Institution has

qualified to participate in programs under the Higher Education Act of 1965, as amended (HEA) and the Federal student financial assistance programs (Title IV, HEA programs).

The Institution must retain the ECAR and the PPA together.

The ECAR contains the most critical of the data elements that form the basis of the Institution's approval, and also a list of the highest level of offering, any nondegree or short term training programs, and any additional locations that provide 50 percent or more of an educational program that have been approved for the Title IV, HEA programs. The Institution may not award, distribute or disburse any Title IV, HEA program funds for any educational or training program that is beyond the scope of the approval contained in the ECAR, nor for any additional location providing 50 percent or more of an educational program that has not been approved and is not listed on the ECAR.

- In order to comply with the requirements of 34 C.F.R. § 668.8(1), some vocational or nondegree programs may have been approved for fewer credit hours than requested in the Institution's application.
- Vocational and nondegree programs that do not meet the requirements of 34 C.F.R. Parts 600 and 668 have not been approved and are marked in the ECAR as not approved.
- The listing of Educational Programs in the ECAR contains those programs that the SPD has determined are eligible programs for participation in the Title IV, HEA programs.
- The ECAR contains a list of HEA programs other than Title IV, HEA programs, for which the Institution is eligible to apply. This list does not mean that the Institution will automatically be eligible to participate in or receive funds under any HEA competitive grant program. Information concerning applications for, and the individual requirements of, the competitive grant program can be obtained from:

**Deputy Assistant Secretary
Office of Higher Education Programs
U.S. Department of Education
400 Maryland Avenue, S.W.
Washington, DC 20202-5140**

PROGRAM PARTICIPATION AGREEMENT

The PPA contains the agreement between the Institution and the Secretary concerning the Institution's participation in the Federal student financial assistance programs (Title IV, HEA programs).

CERTIFICATION FOR TITLE IV, HEA PROGRAMS

As explained in the PPA, Title IV, HEA programs administered by participating educational institutions are subject to applicable laws, regulations, and guidelines. For further information on the HEA programs identified below, contact the COD School Relations Center

at (800) 848-0978:

- Federal Pell Grant Program
- Federal Direct Student Loan Program

If the Institution wishes to begin participating in the Direct Loan Program or to request a change in its funding method, contact COD School Relations at the number above or send an email to CODsupport@ed.gov.

- Federal Campus-Based Programs

The Federal Campus-Based Programs are (a) the Federal Supplemental Educational Opportunity Grant (FSEOG) Program, (b) the Federal Work-Study (FWS) Program, and (c) the Federal Perkins Loan Program (no longer making new loans). To obtain funding under the FSEOG or FWS programs, the Institution must file the Fiscal Operations Report and Application to Participate (FISAP) annually. FISAP packages are typically available at the end of July each year and the due date for electronic submission of this data is generally a postmark or transmission date of October 1. Please keep in mind that the October 1 submission is to obtain funding for the Award Year that begins the following July 1.

If the Institution does not already participate in the Title IV, HEA programs, the Institution must complete Fundamentals of Title IV Administration (Precertification) Training no later than 12 months after the Institution executed the PPA. Completion of this training must be not earlier than one year before beginning to participate in any Title IV, HEA program for which the Institution has not previously participated. If you wish to register for Fundamentals of Title IV Administration (Precertification) Training, please go to <https://fsatraining.ed.gov/>. For information concerning the training, contact the Kansas City SPD at the telephone number listed later in this letter.

Participating educational institutions will be reviewed at least once every six years to determine whether the institutions remain administratively capable and financially responsible to administer Title IV programs and funds.

REPORTING AND REAPPLICATION REQUIREMENTS

The Institution must report promptly to the Department certain changes and actions that affect the Institution's participation approval, as specified in 34 C.F.R. Parts 600 and 668, including, but not limited to:

- Change of name and/or address;
- New contract or significant modification of existing contract with a third party servicer;
- Change in exercise of a person's substantial control over the Institution, e.g., a change in the chief executive officer or members of the board of trustees or board of directors.
- Change in the way the Institution measures educational program length;
- Change in the level of course offerings;
- Additions and/or closures of non-main campus locations that offer at least 50% of an educational program;
- Change of accrediting agency;
- Change of the State agency that confers legal authority on the Institution to offer programs of postsecondary education; or
- Change in ownership *whether or not* that ownership change results in a change in control of the Institution.

If the Institution fails to report any such changes within ten days after the change occurs, the ability of the Institution to administer the Title IV student financial assistance programs properly will be called into question. As a consequence, we will consider whether it is necessary to monitor the Institution's receipt of Federal funds more closely. Failure to report changes within the time frame required may also result in an adverse action being taken against the Institution in accordance with 34 C.F.R. § 668, Subpart G.

Automatic Termination of Approval

This Approval for Institutional Participation automatically terminates on the happening of any of the following events:

- September 30, 2029
- The date the Institution loses the legal authority to offer programs of postsecondary education in the State in which it is located;
- The date the Institution loses accreditation from its designated primary accrediting agency;
- The date the Institution ceases to offer all approved postsecondary instruction;
- The date the Institution merges with another institution;
- The date the Institution undergoes a change in ownership resulting in a change of control;
- The date the Institution files for bankruptcy; or
- The date the Institution otherwise ceases to meet the definition of an eligible institution of higher education.

Please submit all information or documentation required by this letter via the Application for Approval to Participate in the Federal Financial Aid Programs at eligcert.ed.gov and/or via the Common Origination and Disbursement (COD) Document Center at <https://www.cod.ed.gov>.

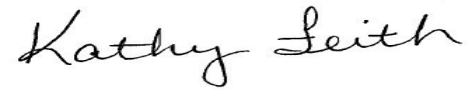
ELIGIBILITY REQUIREMENTS

One of the institutional eligibility requirements is that the institution must admit as regular students only persons who have a high school diploma; have the recognized equivalent of a high school diploma; or are beyond the age of compulsory school attendance in the State in which the institution is physically located (see 34 C.F.R. § 600.4, 5 or 6). This means if the student is not yet beyond the age of compulsory school attendance in the State in which the institution is physically located, the institution can only enroll the individual as a regular student if he or she has a high school diploma or its equivalent.

One of the student eligibility requirements is that an eligible student is one who is not enrolled in either an elementary or secondary school (see 34 C.F.R. § 668.32). This means that an institution cannot accept as a regular student at this school, an individual who is also enrolled at the same time in elementary or high school.

The telephone number for the Kansas City SPD is (816) 268-0410. The SPD may also be reached by email at KansasCitySPD@ed.gov.

Sincerely,

A handwritten signature in black ink that reads "Kathy Feith". The script is cursive and fluid.

Kathy Feith
Branch Chief
Kansas City School Participation Division

cc: Mr. Bryson Davis, Financial Aid Administrator
Southern Association of Colleges and Schools Commission on Colleges
KY Kentucky Council on Higher Education

**UNITED STATES DEPARTMENT OF EDUCATION****SCHOOL PARTICIPATION MANAGEMENT DIVISION****ELIGIBILITY AND CERTIFICATION APPROVAL REPORT**

DATE PRINTED: 11/21/2023

PAGE A - 1

NAME AND ADDRESS OF INSTITUTION: Western Kentucky University
1906 College Heights Blvd

Bowling Green, KY 42101-3576

TYPE OF INSTITUTION: Public

CONGRESSIONAL DISTRICT: 02

DEPARTMENT REGION: 04

SCHOOL PARTICIPATION TEAM: 07

ACTION DATE: 11/16/2023

ACTION: Reapprove Elig/Full Cert

OPE ID: 00200200

TIN: 616055628

IPEDS ID: 157951

DUNS NBR: 077876258

FEDERAL PELL GRANT ID: 002002

FEDERAL FAMILY EDUCATION LOAN ID: 002002

FEDERAL DIRECT STUDENT LOAN ID: G02002

FEDERAL PERKINS LOAN ID: 001615

FEDERAL SCHOOL CODE: 002002

FEDERAL WORK STUDY ID: 001615

FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT ID: 001615

ACADEMIC CALENDAR: Semester Hours

EDUCATIONAL PROGRAM LEVELS OFFERED:

Graduate/Professional (>= 300 hours)

Non-Degree (600-899 hours)

Non-Degree 1 Year (900-1799 hours)

ELIGIBLE: Y

INITIAL APPROVAL DATE: 12/01/1965

CERTIFIED: Certified

LOAN DEFERMENT: Y

Non-Degree 2 Years (1800-2699 hours)
 Associate's Degree
 Bachelor's Degree
 First Professional Degree
 Master's Degree or Doctor's Degree
 Professional Certification
 Two-Year Transfer
 Comprehensive Transition and Postsecondary Program

PROGRAM PARTICIPATION AGREEMENT

WAIVER(S):

*** End of Waivers ***

EFFECTIVE DATE: 11/21/2023

EXPIRATION DATE: 09/30/2029

THE INSTITUTION IS ELIGIBLE TO APPLY FOR PARTICIPATION IN THE FOLLOWING PROGRAMS AUTHORIZED UNDER THE HIGHER EDUCATION ACT OF 1965, AS AMENDED:

TITLE I: Y
 TITLE II: Y
 TITLE III: Y

TITLE IV: Y
 TITLE V: Y
 TITLE VI: Y

TITLE VII: Y
 TITLE VIII: Y

Program: TEACH GRANT Certified: Y DATE: 02/07/2011

TITLE IV STUDENT FINANCIAL ASSISTANCE PROGRAMS

<u>PROGRAM</u>	<u>CERTIFIED</u>	<u>APPROVAL DATE</u>	<u>PROGRAM</u>	<u>CERTIFIED</u>	<u>APPROVAL DATE</u>
FWS Com Serv	Y	12/01/1965	FWS Priv Sec Empl	Y	10/04/1996
FWS Job Loc Dev	Y	12/01/1965	FFEL Staff	Y	12/01/1965
FFEL Staff Unsub	Y	10/04/1996	FFEL PLUS	Y	10/04/1996
FPerkins	Y	12/01/1965	FSEOG	Y	12/01/1965
FPell	Y	07/01/1972	FDSLPL Staff	Y	04/01/1995
FDSLPL Staff Unsub	Y	04/01/1995	FDSLPL PLUS	Y	04/01/1995

**** End of Section A ****



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

ACCREDITATION SECTION

PAGE B - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

ACCREDITATION

<u>ACCREDITING AGENCY</u>	<u>DESIGNATION</u>	<u>SCOPE</u>	<u>EFFECTIVE YEAR</u>	<u>NUMBER OF YEARS</u>
Southern Association of Colleges and Schools Commission on Colleges	Primary	Institutional	2015	10
**** End of Accreditation Section ****				



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

STATE AUTHORIZATION SECTION

PAGE C - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

STATE AUTHORIZATION

STATE AGENCY

KY Kentucky Council on Higher Education

**** End of State Authorization Section ****



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

OFFICIALS SECTION

PAGE D - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

OFFICIALS

<u>NAME AND ADDRESS</u>	<u>TITLE</u>	<u>PHONE FAX</u>	<u>E-MAIL</u>
Caboni, Timothy C Craig Administration Building 1906 College Heights Blvd #11001 Bowling Green, KY 42101	President	(270) 745-4346 (270) 745-4492	caboni@ wku.edu
Davis, Bryson 1906 College Heights Blvd #11018 Potter Hall #305 Bowling Green, KY 42101	Financial Aid Administrator	(270) 745-2051 (270) 745-6586	bryson.davis@ wku.edu
Howarth, Susan I Potter Hall 435 1906 College Heights Blvd #11007 Bowling Green, KY 42101	Exec. Vice President for Strategy, Operations, and Finance	(270) 745-2434 (270) 745-4602	susan.howarth@ wku.edu

Ramsey, James R

Vice President of Administration

(270) 745-2434 james.ramsey@
(270) 745-4602 wku.edu

**** End of Officials Section ****



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

ADDITIONAL LOCATIONS SECTION

PAGE E - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

ADDITIONAL LOCATIONS

<u>OPE ID</u>	<u>NAME AND ADDRESS</u>	<u>APPROVED FOR CERTIFICATION</u>	<u>INITIAL APPROVAL DATE</u>
00200201	Western Kentucky University - Glasgow Campus 500 Hilltopper Way Glasgow, KY 42141-7966	Y	10/04/1996
00200202	Western Kentucky University - Owensboro 4821 New Hartford Road Owensboro, KY 42303-0000	Y	10/04/1996
00200203	Western Kentucky University - Elizabethtown Center 610 College Street Road Elizabethtown, KY 42701-0000	Y	10/04/1996
00200204	Western Kentucky University - Fort Knox Layaou Hall, Building 65, Room 103 Fort Knox, KY 40121-0000	Y	11/16/2023

**** End of Additional Locations Section ****



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

EDUCATIONAL PROGRAMS SECTION

PAGE F - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

EDUCATIONAL PROGRAMS

<u>CIP</u>	<u>INSTITUTION'S PROGRAM NAME</u>	<u>APPROVED FOR CERTIFICATION</u>	<u>FULL CREDIT TO DEGREE</u>	<u>INITIAL APPROVAL</u>	<u>CREDIT HOURS</u>	<u>TYPE</u>	<u>CLOCK HOURS</u>	<u>DURATION IN WEEKS</u>
01.1002	Brewing & Distilling Arts & Sciences	N	-	05/22/2018	16	Semester		30
05.0123	Chinese Studies	Y	Y	05/20/2021	21	Semester		30
05.0125	German Studies	N	Y	05/02/2022	21	Semester		30
05.0207	Gender & Women's Studies	N	-	08/24/2005	15	Semester		30
09.0702	Certificate in User Experience	Y	Y	05/08/2023	18	Semester		30
09.0702	iMedia	N	Y	04/23/2010	21	Semester		30
09.0900	Strategic Sport Communication	N	-	05/20/2021	12	Semester		30
09.0901	Communicating in Organizations	Y	-	08/24/2005	12	Semester		30
09.0901	Workplace Communication	Y	Y	05/08/2023	18	Semester		30
09.0903	Digital Advertising	Y	Y	05/02/2022	18	Semester		30
09.0905	Communicating in Healthcare	Y	-	04/12/2016	12	Semester		30

09.0909 Strategic Communications	Y	Y	05/02/2022	18	Semester	30
11.0401 Scientific Data Analytics	Y	N	05/20/2021	12	Semester	30
11.1006 Information Systems	N	Y	08/18/2011	18	Semester	30
13.0301 Instructional Design	Y	N	04/23/2010	12	Semester	30
13.0407 Certificate in Community College Faculty Preparation	N	-	08/24/2005	12	Semester	30
13.0501 Educational Technology	N	-	08/24/2005	12	Semester	30
13.0607 Measurement, Evaluation & Research	N	-	05/22/2018	18	Semester	30
13.1004 Gifted Education and Talent Development	Y	N	05/02/2022	12	Semester	30
13.1013 Autism Spectrum Disorders	N	-	04/23/2010	15	Semester	30
13.1101 College and Career Readiness	Y	N	05/22/2018	15	Semester	30
13.1102 Career Services	Y	-	08/18/2011	15	Semester	30
13.1199 International Student Services	Y	-	04/23/2010	15	Semester	30
13.1201 Adult Education	Y	N	03/12/2012	12	Semester	30
13.1201 Literacy in Post Secondary Settings	Y	-	04/12/2016	18	Semester	30
13.1299 Literacy P-12	Y	N	05/20/2021	15	Semester	30
13.1311 Elementary Math Specialization P-5	Y	N	05/22/2018	15	Semester	30
13.1499 Teaching ESOL	Y	-	04/23/2010	16	Semester	30
15.0506 Drinking Water Operations	N	Y	04/12/2016	24	Semester	30
15.0613 Advanced Manufacturing - CBE	N	Y	08/03/2017	120	Semester	120
15.0702 Lean Sigma	Y	-	04/12/2016	12	Semester	30
16.0905 Language Certificate in Spanish	Y	Y	05/02/2022	18	Semester	30
19.0702 Aging Studies	N	-	04/23/2010	12	Semester	30
22.0302 Paralegal Studies	Y	Y	05/20/2021	21	Semester	30
23.0101 Teaching ESOL	Y	Y	11/16/2023	19	Semester	30
26.0101 Biology	Y	-	05/22/2018	15	Semester	30
26.1309 Epidemiology	Y	N	05/20/2021	12	Semester	30
30.0001 WKU Comprehensive Transition Program	Y	N	11/04/2023	72	Semester	2160 90
30.1202 Historic Preservation	N	-	04/23/2010	15	Semester	30
30.3301 Global Pathways Sustainability	N	-	04/12/2016	15	Semester	30
30.7101 Data Analytics	Y	N	05/02/2022	12	Semester	30
30.7102 Applied Data Analytics	Y	Y	05/22/2018	18	Semester	30
31.0301 Facility and Event Management	Y	N	04/12/2016	12	Semester	30

31.0504 Intercollegiate Athletic Admin	Y	N	04/12/2016	12	Semester	30
33.0104 Citizenship and Social Justice	Y	Y	05/20/2021	21	Semester	30
38.0201 Religious Studies	N	-	08/18/2011	15	Semester	30
42.2814 Advanced Behavioral Management	Y	N	05/22/2018	15	Semester	30
43.0302 Emergency Management Disaster Science	Y	N	10/22/2019	15	Semester	30
43.0404 Cybersecurity Data Analytics	Y	-	05/20/2021	12	Semester	30
44.0401 Local Government Admin	N	-	01/28/2019	12	Semester	30
44.0401 Local Government Administration	Y	N	11/16/2023	12	Semester	30
45.0603 Economic Data Analytics	Y	N	05/22/2018	12	Semester	30
45.0702 Geographic Information Science	N	-	09/14/2006	10	Semester	30
50.0408 Kitchen and Bath	N	Y	04/12/2016	24	Semester	30
50.0409 Graphic Design	Y	Y	05/08/2023	18	Semester	30
50.0411 Game Design	Y	Y	05/20/2021	18	Semester	30
51.1501 Addictions Education	Y	N	05/22/2018	15	Semester	30
51.1504 Interdisciplinary Patient Navigator	N	Y	04/12/2016	23	Semester	30
51.2201 Public Health	Y	Y	05/22/2018	18	Semester	30
51.2202 Environmental Health	Y	Y	05/22/2018	21	Semester	30
51.2202 Environmental Health & Safety	N	-	04/23/2010	15	Semester	30
51.2202 Occupational Safety and Health	Y	N	11/16/2023	12	Semester	30
51.2207 Health Education	Y	-	05/22/2018	12	Semester	30
51.2207 Health Education & Health Promotion	Y	Y	05/22/2018	21	Semester	30
51.2207 Workplace Health Promotion	Y	-	04/12/2016	15	Semester	30
51.2207 Worksite Health Promotion Certificate	Y	Y	08/18/2011	18	Semester	30
51.2211 Global Health Administration	Y	N	05/22/2018	15	Semester	30
51.2706 Health Informatics	Y	Y	05/22/2018	19	Semester	30
51.3101 Dietetic Practice	Y	N	04/12/2016	12	Semester	30
51.3802 Post MSN Nurse Administrator	N	-	04/23/2010	19	Semester	30
51.3805 Family Nurse Practitioner	Y	-	04/12/2016	17	Semester	30
51.3810 Psychiatric Mental Health Nurse Practitioner	Y	-	04/12/2016	18	Semester	30
51.3816 Emergency Nurse Practitioner	N	-	04/12/2016	12	Semester	30
51.3816 Post-MSN Certificate in Nursing, Nursing Education	N	-	08/24/2005	16	Semester	30
52.0201 Business Core Competencies	N	-	05/22/2018	16	Semester	30

52.0201 Enterprise Management	Y	-	11/16/2023	12	Semester	30
52.0201 Executive Decision Making	Y	-	11/16/2023	12	Semester	30
52.0203 Supply Chain Management	Y	N	05/08/2023	12	Semester	30
52.0206 Nonprofit Administration	Y	-	04/12/2016	12	Semester	30
52.0213 Organizational Leadership	Y	-	09/14/2006	12	Semester	30
52.0804 Certificate in Financial Planning	Y	Y	04/23/2010	30	Semester	30
52.1001 Human Resource Management	N	Y	04/23/2010	22	Semester	30
52.1501 Real Estate Certificate	N	Y	08/24/2005	27	Semester	30
52.9999 Business Sustainability	N	-	04/12/2016	12	Semester	30

**** End of Educational Programs Section ****



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/21/2023

SERVICER INFORMATION SECTION

PAGE H - 1

INSTITUTION NAME : Western Kentucky University

OPE ID : 002002 00

INSTITUTION TYPE : Public

SERVICER INFORMATION

<u>SERVICER NAME</u>	<u>CONTACT NAME</u>	<u>SERVICES OFFERED</u>
BM Technologies, Inc. 201 King of Prussia Road Wayne, PA 19087	Andrew M. Rakaczki	Disbursing Financial Aid
Continental Service Group, LLC 1704 Southwest 22nd Street Boynton Beach, FL 33426	Michelle Hartmann	Other
Educational Computer System, Inc. 100 Global View Drive Warrendale, PA 15086	Jim McDonald	Performing Loan Servicing
General Revenue Corporation 4660 Duke Drive Suite 200 Mason, OH 45040	Brian Hill	Other

Kentucky Department of Revenue
501 High Street
Frankfort, KY 40601
Kentucky Higher Edu Asst Authority
100 Airport Road
Frankfort, KY 40602
Key 2 Recovery
8894 Beckett Road
West Chester, OH 45069
National Credit Management
10845 Olive Boulevard Suite 210
St. Louis, MO 63141
Nelnet Business Solutions, LLC
121 South 13th Street
Lincoln, NE 68508
Reliant Capital Solutions
1150 Ferry Street
Marshfield, MA 02050
Williams & Fudge, Inc.
300 Chatham Avenue
Rock Hill, SC 29732

Tammy Watts	Other
Mrs. Tricia Crowe	Other
Doug Plummer	Other
Karen Reddick	Other
Jackie Strohbehn	Performing Loan Servicing
Julianne Hinds	Other
Kevin Hunt	Other

**** End of Servicer Information Section ****

Return to the [Application Index](#)



FEDERAL STUDENT AID  "START HERE. GO FURTHER."

UNITED STATES DEPARTMENT OF EDUCATION

FEDERAL STUDENT AID SCHOOL ELIGIBILITY AND OVERSIGHT SERVICE GROUP

PROGRAM PARTICIPATION AGREEMENT

Effective Date of Approval: The date on which this Agreement is signed on behalf of the Secretary of Education

Approval Expiration Date: **September 30, 2029**

Reapplication Date: **June 30, 2029**

Name of Institution: **Western Kentucky University**

Address of Institution: **1906 College Heights Blvd
Bowling Green, KY 42101-3576**

OPE ID Number: **00200200**

Taxpayer Identification Number (TIN): **616055628**

The execution of this Agreement by the Institution and the Secretary is a prerequisite to the Institution's initial or continued participation in any Title IV, HEA Program.

The postsecondary educational institution listed above, referred to hereafter as the "Institution," and the United States Secretary of Education, referred to hereafter as the "Secretary," agree that the Institution may participate in those student financial assistance programs authorized by Title IV of the Higher Education Act of 1965, as amended (Title IV, HEA Programs) indicated under this Agreement and further agrees that such participation is subject to the Institution's compliance with the terms and conditions set forth in this Agreement. As used in this Agreement, the term "Department" refers to the U.S. Department of Education.

SCOPE OF COVERAGE

This Agreement applies to all locations of the Institution as stated on the most current ELIGIBILITY AND CERTIFICATION APPROVAL REPORT issued by the Department. This Agreement covers the Institution's eligibility to participate in each of the following listed Title IV, HEA programs, and incorporates by reference the regulations cited.

- **FEDERAL PELL GRANT PROGRAM**, 20 U.S.C. §§ 1070a *et seq.*; 34 C.F.R. Part 690.
- **FEDERAL FAMILY EDUCATION LOAN PROGRAM**, 20 U.S.C. §§ 1071 *et seq.*; 34 C.F.R. Part 682.
- **FEDERAL DIRECT STUDENT LOAN PROGRAM**, 20 U.S.C. §§ 1087a *et seq.*; 34 C.F.R. Part 685.
- **FEDERAL PERKINS LOAN PROGRAM**, 20 U.S.C. §§ 1087aa *et seq.*; 34 C.F.R. Part 674.
- **FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT PROGRAM**, 20 U.S.C. §§ 1070b *et seq.*; 34 C.F.R. Part 676.
- **FEDERAL WORK-STUDY PROGRAM**, 20 U.S.C. §§ 1087 *et seq.*; 34 C.F.R. Part 675.
- **TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANT PROGRAM**, 20 U.S.C. §§ 1070g *et seq.*; 34 C.F.R. Part 686.
- **IRAQ AND AFGHANISTAN SERVICE GRANT**, 20 U.S.C. §§ 1070h *et seq.*

GENERAL TERMS AND CONDITIONS

1. The Institution understands and agrees that it is subject to and will comply with, as they become effective, the program statutes and implementing regulations for institutional eligibility as set forth in 34 C.F.R. Part 600 and for each Title IV, HEA program in which it participates, as well as the general provisions set forth in Part F and Part G of Title IV of the HEA, and the Student Assistance General Provisions regulations set forth in 34 C.F.R. Part 668.
The recitation of any portion of the statute or regulations in this Agreement does not limit the Institution's obligation to comply with other applicable statutes and regulations.
2.
 - a. The Institution certifies that on the date it signs this Agreement, it has adopted and implemented the drug prevention program described in 34 C.F.R. § 86.100.
 - b. The Institution certifies that on the date it signs this Agreement, it is in compliance with the disclosure requirements of Section 485(f) of the HEA (Campus Security Policy and Campus Crime Statistics).
3. The Institution agrees to comply with --
 - a. Title VI of the Civil Rights Act of 1964, as amended, and the implementing regulations, 34 C.F.R. Parts 100 and 101 (nondiscrimination on the basis of race, color or national origin);
 - b. Title IX of the Education Amendments of 1972 and the implementing regulations, 34 C.F.R. Part 106 (nondiscrimination on the basis of sex);
 - c. The Family Educational Rights and Privacy Act of 1974 and the implementing regulations, 34 C.F.R. Part 99;
 - d. Section 504 of the Rehabilitation Act of 1973 and the implementing regulations, 34 C.F.R. Part 104 (nondiscrimination on the basis of disability); and
 - e. The Age Discrimination Act of 1975 and the implementing regulations, 34 C.F.R. Part 110.
 - f. The Standards for Safeguarding Customer Information, 16 C.F.R. Part 314, issued by the Federal Trade Commission (FTC), as required by the Gramm-Leach-Bliley (GLB) Act, P.L. 106-102. These Standards are intended to ensure the security and confidentiality of customer records and information. The Secretary considers any breach to the security of student records and information as a demonstration of a potential lack of administrative capability as stated in 34 C.F.R. § 668.16(c). Institutions are strongly encouraged to inform its students of any such breaches. Institutions are required, pursuant to the Student Aid

Internet Gateway (SAIG) Agreement, to notify the Department of any suspected data breaches.

4. The Institution acknowledges that 34 C.F.R. Parts 602 and 668 require accrediting agencies, State regulatory bodies, and the Secretary to share information about institutions. The Institution agrees that the Secretary, any accrediting agency recognized by the Secretary, and any State regulatory body may share or report information to one another about the Institution without limitation.
5. The Institution acknowledges that the HEA prohibits the Secretary from recognizing the accreditation of any institution of higher education unless that institution agrees to submit any dispute involving an adverse action, such as the final denial, withdrawal, or termination of accreditation to arbitration prior to initiating any other legal action.
6. The Institution acknowledges that the Department is obligated to take appropriate measures in order to safeguard its systems and information as well as borrowers' personally identifiable information (PII) as required under Federal law, including but not limited to the requirements in the Privacy Act (*see* 5 U.S.C. § 552a(e)), E-Government Act of 2002 (*see* 44 U.S.C. § 3544), the Family Educational Rights and Privacy Act of 1974 (FERPA) (20 U.S.C. § 1232g; 34 C.F.R. Part 99), Federal Information Security Modernization Act (FISMA) of 2014 (44 U.S.C. § 3551, *et seq.*), and OMB Circular No. A-130. If the Institution has a cyber security incident that may negatively affect the Department's systems, the Department may terminate the Institution's access to the Department's systems. Access will be reconnected when the Department determines that the Institution has resolved any cyber security concerns and vulnerabilities to the Department's satisfaction.
7. The Institution acknowledges that any person who knowingly and willfully commits, or attempts to commit, any criminal action described in 20 U.S.C. § 1097, shall be subject to the penalties described therein.

SELECTED PROVISIONS FROM GENERAL PROVISIONS REGULATIONS, 34 C.F.R. § 668.14

An institution's program participation agreement applies to each branch campus and other location of the institution that meets the applicable requirements of this part unless otherwise specified by the Secretary.

(b) By entering into a program participation agreement, an institution agrees that--

- (1) It will comply with all statutory provisions of or applicable to Title IV of the HEA, all applicable regulatory provisions prescribed under that statutory authority, and all applicable special arrangements, agreements, and limitations entered into under the authority of statutes applicable to Title IV of the HEA, including the requirement that the institution will use funds it receives under any Title IV, HEA program and any interest or other earnings thereon, solely for the purposes specified in and in accordance with that program;
- (2) As a fiduciary responsible for administering Federal funds, if the institution is permitted to request funds under a Title IV, HEA program advance payment method, the institution will time its requests for funds under the program to meet the institution's immediate Title IV, HEA program needs;
- (3) It will not request from or charge any student a fee for processing or handling any application, form, or data required to determine a student's eligibility for, and amount of, Title IV, HEA program assistance;
- (4) It will establish and maintain such administrative and fiscal procedures and records as may be necessary to ensure proper and efficient administration of funds received from the Secretary or from

students under the Title IV, HEA programs, together with assurances that the institution will provide, upon request and in a timely manner, information relating to the administrative capability and financial responsibility of the institution to--

- (i) The Secretary;
 - (ii) A guaranty agency, as defined in 34 C.F.R. Part 682, that guarantees loans made under the Federal Stafford Loan and Federal PLUS programs for attendance at the institution or any of the institution's branch campuses or other locations;
 - (iii) The nationally recognized accrediting agency that accredits or preaccredits the institution or any of the institution's branch campuses, other locations, or educational programs;
 - (iv) The State agency that legally authorizes the institution and any branch campus or other location of the institution to provide postsecondary education; and
 - (v) In the case of a public postsecondary vocational educational institution that is approved by a State agency recognized for the approval of public postsecondary vocational education, that State agency;
- (5) It will comply with the provisions of 34 C.F.R. § 668.15 relating to factors of financial responsibility;
- (6) It will comply with the provisions of 34 C.F.R. § 668.16 relating to standards of administrative capability;
- (7) It will submit reports to the Secretary and, in the case of an institution participating in the Federal Stafford Loan, Federal PLUS, or the Federal Perkins Loan Program, to holders of loans made to the institution's students under that program at such times and containing such information as the Secretary may reasonably require to carry out the purpose of the Title IV, HEA programs;
- (8) It will not provide any statement to any student or certification to any lender in the case of an FFEL Program loan, or origination record to the Secretary in the case of a Direct Loan Program loan that qualifies the student or parent for a loan or loans in excess of the amount that the student or parent is eligible to borrow in accordance with sections 425(a), 428(a)(2), 428(b)(1)(A) and (B), 428B, 428H and 455(a) of the HEA;
- (9) It will comply with the requirements of Subpart D of this part concerning institutional and financial assistance information for students and prospective students;
- (10) In the case of an institution that advertises job placement rates as a means of attracting students to enroll in the institution, the institution will make available to prospective students, at or before the time that those students apply for enrollment--
- (i) The most recent available data concerning employment statistics, graduation statistics, and any other information necessary to substantiate the truthfulness of the advertisements; and
 - (ii) Relevant State licensing requirements of the State in which the institution is located for any job for which the course of instruction is designed to prepare such prospective students, as provided in 34 C.F.R. § 668.43(a)(5)(v);
- (11) In the case of an institution participating in the FFEL Program, the institution will inform all eligible borrowers, as defined in 34 C.F.R. Part 682, enrolled in the institution about the availability and eligibility of those borrowers for State grant assistance from the State in which the institution is located, and will inform borrowers from another State of the source of further information concerning State grant assistance from that State;
- (12) It will provide the certifications described in paragraph (c) of this section;
- (13) In the case of an institution whose students receive financial assistance pursuant to section 484(d) of the HEA, the institution will make available to those students a program proven successful in assisting students in obtaining the recognized equivalent of a high school diploma;
- (14) It will not deny any form of Federal financial aid to any eligible student solely on the grounds that the student is participating in a program of study abroad approved for credit by the institution;
- (15) (i) Except as provided under paragraph (b)(15)(ii) of this section, the institution will use a default management plan approved by the Secretary with regard to its administration of the FFEL or

Direct Loan programs, or both for at least the first two years of its participation in those programs, if the institution --

(A) Is participating in the FFEL or Direct Loan programs for the first time; or

(B) Is an institution that has undergone a change of ownership that results in a change in control and is participating in the FFEL or Direct Loan programs.

(ii) The institution does not have to use an approved default management plan if --

(A) The institution, including its main campus and any branch campus, does not have a cohort default rate in excess of 10 percent; and

(B) The owner of the institution does not own and has not owned any other institution that had a cohort default rate in excess of 10 percent while that owner owned the institution.

(16) For a proprietary institution, the institution will derive at least 10 percent of its revenues for each fiscal year from sources other than Federal funds, as provided in 34 C.F.R. § 668.28(a), or be subject to sanctions described in 34 C.F.R. § 668.28(c);

(17) The Secretary, guaranty agencies and lenders as defined in 34 C.F.R. Part 682, nationally recognized accrediting agencies, the Secretary of Veterans Affairs, State agencies recognized under 34 C.F.R. Part 603 for the approval of public postsecondary vocational education, and State agencies that legally authorize institutions and branch campuses or other locations of institutions to provide postsecondary education, have the authority to share with each other any information pertaining to the institution's eligibility for or participation in the Title IV, HEA programs or any information on fraud and abuse;

(18) It will not knowingly --

(i) Employ in a capacity that involves the administration of the Title IV, HEA programs or the receipt of funds under those programs, an individual who has been convicted of, or has pled *nolo contendere* or guilty to, a crime involving the acquisition, use, or expenditure of Federal, State, or local government funds, or has been administratively or judicially determined to have committed fraud or any other material violation of law involving Federal, State, or local government funds;

(ii) Contract with an institution or third-party servicer that has been terminated under section 432 of the HEA for a reason involving the acquisition, use, or expenditure of Federal, State, or local government funds, or that has been administratively or judicially determined to have committed fraud or any other material violation of law involving Federal, State, or local government funds; or

(iii) Contract with or employ any individual, agency, or organization that has been, or whose officers or employees have been--

(A) Convicted of, or pled *nolo contendere* or guilty to, a crime involving the acquisition, use, or expenditure of Federal, State, or local government funds; or

(B) Administratively or judicially determined to have committed fraud or any other material violation of law involving Federal, State, or local government funds;

(19) It will complete, in a timely manner and to the satisfaction of the Secretary, surveys conducted as a part of the Integrated Postsecondary Education Data System (IPEDS) or any other Federal collection effort, as designated by the Secretary, regarding data on postsecondary institutions;

(20) In the case of an institution that is co-educational and has an intercollegiate athletic program, it will comply with the provisions of 34 C.F.R. § 668.48;

(21) It will not impose any penalty, including, but not limited to, the assessment of late fees, the denial of access to classes, libraries, or other institutional facilities, or the requirement that the student borrow additional funds for which interest or other charges are assessed, on any student because of the student's inability to meet his or her financial obligations to the institution as a result of the delayed disbursement of the proceeds of a Title IV, HEA program loan due to compliance with statutory and regulatory requirements of or applicable to the Title IV, HEA programs, or delays attributable to the institution;

(22) (i) It will not provide any commission, bonus, or other incentive payment based in any part, directly or indirectly, upon success in securing enrollments or the award of financial aid, to any

person or entity who is engaged in any student recruitment or admission activity, or in making decisions regarding the award of Title IV, HEA program funds.

(A) The restrictions in paragraph (b)(22) of this section do not apply to the recruitment of foreign students residing in foreign countries who are not eligible to receive Federal student assistance.

(B) For the purpose of paragraph (b)(22) of this section, an employee who receives multiple adjustments to compensation in a calendar year and is engaged in any student enrollment or admission activity or in making decisions regarding the award of Title IV, HEA program funds is considered to have received such adjustments based upon success in securing enrollments or the award of financial aid if those adjustments create compensation that is based in any part, directly or indirectly, upon success in securing enrollments or the award of financial aid.

(ii) Notwithstanding paragraph (b)(22)(i) of this section, eligible institutions, organizations that are contractors to eligible institutions, and other entities may make--

(A) Merit-based adjustments to employee compensation provided that such adjustments are not based in any part, directly or indirectly, upon success in securing enrollments or the award of financial aid; and

(B) Profit-sharing payments so long as such payments are not provided to any person or entity engaged in student recruitment or admission activity or in making decisions regarding the award of Title IV, HEA program funds.

(iii) As used in paragraph (b)(22) of this section,

(A) *Commission, bonus, or other incentive payment* means a sum of money or something of value, other than a fixed salary or wages, paid to or given to a person or an entity for services rendered.

(B) *Securing enrollments or the award of financial aid* means activities that a person or entity engages in at any point in time through completion of an educational program for the purpose of the admission or matriculation of students for any period of time or the award of financial aid to students.

(1) These activities include contact in any form with a prospective student, such as, but not limited to--contact through preadmission or advising activities, scheduling an appointment to visit the enrollment office or any other office of the institution, attendance at such an appointment, or involvement in a prospective student's signing of an enrollment agreement or financial aid application.

(2) These activities do not include making a payment to a third party for the provision of student contact information for prospective students provided that such payment is not based on--

(i) Any additional conduct or action by the third party or the prospective students, such as participation in preadmission or advising activities, scheduling an appointment to visit the enrollment office or any other office of the institution or attendance at such an appointment, or the signing, or being involved in the signing, of a prospective student's enrollment agreement or financial aid application; or

(ii) The number of students (calculated at any point in time of an educational program) who apply for enrollment, are awarded financial aid, or are enrolled for any period of time, including through completion of an educational program.

(C) *Entity or person engaged in any student recruitment or admission activity or in making decisions about the award of financial aid* means--

(1) With respect to an entity engaged in any student recruitment or admission activity or in making decisions about the award of financial aid, any institution or organization that undertakes the recruiting or the admitting of students or that makes decisions about and awards Title IV, HEA program funds; and

(2) With respect to a person engaged in any student recruitment or admission activity or in making decisions about the award of financial aid, any employee who undertakes recruiting or admitting of students or who makes decisions about and awards Title IV, HEA program funds, and any higher level employee with responsibility for recruitment or admission of students, or making decisions about awarding Title IV, HEA program funds.

(D) *Enrollment* means the admission or matriculation of a student into an eligible institution.

(23) It will meet the requirements established pursuant to Part H of Title IV of the HEA by the Secretary and nationally recognized accrediting agencies;

(24) It will comply with the requirements of 34 C.F.R. § 668.22;

(25) It is liable for all--

(i) Improperly spent or unspent funds received under the Title IV, HEA programs, including any funds administered by a third-party servicer; and

(ii) Returns of Title IV, HEA program funds that the institution or its servicer may be required to make;

(26) If an educational program offered by the institution is required to prepare a student for gainful employment in a recognized occupation, the institution must--

(i) Demonstrate a reasonable relationship between the length of the program and entry level requirements for the recognized occupation for which the program prepares the student. The Secretary considers the relationship to be reasonable if the number of clock hours provided in the program does not exceed the greater of -

(A) One hundred and fifty percent of the minimum number of clock hours required for training in the recognized occupation for which the program prepares the student, as established by the State in which the institution is located, if the State has established such a requirement, or as established by any Federal agency; or

(B) The minimum number of clock hours required for training in the recognized occupation for which the program prepares the student as established in a State adjacent to the State in which the institution is located; and

(ii) Establish the need for the training for the student to obtain employment in the recognized occupation for which the program prepares the student;

(27) In the case of an institution participating in a Title IV, HEA loan program, the institution --

(i) Will develop, publish, administer, and enforce a code of conduct with respect to loans made, insured or guaranteed under the Title IV, HEA loan programs in accordance with 34 C.F.R. § 601.21; and

(ii) Must inform its officers, employees, and agents with responsibilities with respect to loans made, insured or guaranteed under the Title IV, HEA loan programs annually of the provisions of the code required under paragraph (b)(27) of this section;

(28) For any year in which the institution has a preferred lender arrangement (as defined in 34 C.F.R. § 601.2(b)), it will at least annually compile, maintain, and make available for students attending the institution, and the families of such students, a list in print or other medium, of the specific lenders for loans made, insured, or guaranteed under Title IV, of the HEA or private education loans that the institution recommends, promotes, or endorses in accordance with such preferred lender arrangement. In making such a list, the institution must comply with the requirements in 34 C.F.R. § 682.212(h) and 34 C.F.R. § 601.10;

(29) (i) It will, upon the request of an enrolled or admitted student who is an applicant for a private education loan (as defined in 34 C.F.R. § 601.2(b)), provide to the applicant the self-certification form required under 34 C.F.R. § 601.11(d) and the information required to complete the form, to the extent the institution possesses such information, including --

(A) The applicant's cost of attendance at the institution, as determined by the institution under Part F of Title IV, of the HEA;

(B) The applicant's estimated financial assistance, including amounts of financial assistance used to replace the expected family contribution as determined by the institution in accordance with Title IV, for students who have completed the Free Application for Federal Student Aid; and

(C) The difference between the amounts under paragraphs (b)(29)(i)(A) and (29)(i)(B) of this section, as applicable.

(ii) It will, upon the request of the applicant, discuss with the applicant the availability of Federal, State, and institutional student financial aid;

(30) The institution --

(i) Has developed and implemented written plans to effectively combat the unauthorized distribution of copyrighted material by users of the institution's network, without unduly interfering with educational and research use of the network, that include --

(A) The use of one or more technology-based deterrents;

(B) Mechanisms for educating and informing its community about appropriate versus inappropriate use of copyrighted material, including that described in 34 C.F.R. § 668.43(a)(10);

(C) Procedures for handling unauthorized distribution of copyrighted material, including disciplinary procedures; and

(D) Procedures for periodically reviewing the effectiveness of the plans to combat the unauthorized distribution of copyrighted materials by users of the institution's network using relevant assessment criteria. No particular technology measures are favored or required for inclusion in an institution's plans, and each institution retains the authority to determine what its particular plans for compliance with paragraph (b)(30) of this section will be, including those that prohibit content monitoring; and

(ii) Will, in consultation with the chief technology officer or other designated officer of the institution--

(A) Periodically review the legal alternatives for downloading or otherwise acquiring copyrighted material;

(B) Make available the results of the review in paragraph (b)(30)(ii)(A) of this section to its students through a Web site or other means; and

(C) To the extent practicable, offer legal alternatives for downloading or otherwise acquiring copyrighted material, as determined by the institution; and

(31) The institution will submit a teach-out plan to its accrediting agency in compliance with 34 C.F.R. § 602.24(c) and the standards of the institution's accrediting agency. The institution will update its teach-out plan upon the occurrence of any of the following events:

(i) The Secretary initiates the limitation, suspension, or termination of the participation of an institution in any Title IV, HEA program under 34 C.F.R. § 600.41 or Subpart G of this part or initiates an emergency action under 34 C.F.R. § 668.83.

(ii) The institution's accrediting agency acts to withdraw, terminate, or suspend the accreditation or preaccreditation of the institution.

(iii) The institution's State licensing or authorizing agency revokes the institution's license or legal authorization to provide an educational program.

(iv) The institution intends to close a location that provides 100 percent of at least one program.

(v) The institution otherwise intends to cease operations.

(c) In order to participate in any Title IV, HEA program (other than the LEAP and NEISP programs), the institution must certify that it--

(1) Has in operation a drug abuse prevention program that the institution has determined to be accessible to any officer, employee, or student at the institution; and

(2) (i) Has established a campus security policy in accordance with section 485(f) of the HEA; and

(ii) Has complied with the disclosure requirements of 34 C.F.R. § 668.47 as required by section 485(f) of the HEA.

(d) (1) The institution, if located in a State to which section 4(b) of the National Voter Registration Act (42 U.S.C. 1973gg-2(b)) does not apply, will make a good faith effort to distribute a mail voter registration form, requested and received from the State, to each student enrolled in a degree or certificate program and physically in attendance at the institution, and to make those forms widely available to students at the institution.

(2) The institution must request the forms from the State 120 days prior to the deadline for registering to vote within the State. If an institution has not received a sufficient quantity of forms to fulfill this section from the State within 60 days prior to the deadline for registering to vote in the State, the institution is not liable for not meeting the requirements of this section during that election year.

(3) This paragraph applies to elections as defined in Section 301(1) of the Federal Election Campaign Act of 1971 (2 U.S.C. 431(1)), and includes the election for Governor or other chief executive within such State.

(e) (1) A program participation agreement becomes effective on the date that the Secretary signs the agreement.

(2) A new program participation agreement supersedes any prior program participation agreement between the Secretary and the institution.

(f) (1) Except as provided in paragraphs (g) and (h) of this section, the Secretary terminates a program participation agreement through the proceedings in Subpart G of this part.

(2) An institution may terminate a program participation agreement.

(3) If the Secretary or the institution terminates a program participation agreement under paragraph (f) of this section, the Secretary establishes the termination date.

(g) An institution's program participation agreement automatically expires on the date that--

(1) The institution changes ownership that results in a change in control as determined by the Secretary under 34 C.F.R. Part 600; or

(2) The institution's participation ends under the provisions of 34 C.F.R. § 668.26(a)(1), (2), (4), or (7).

(h) An institution's program participation agreement no longer applies to or covers a location of the institution as of the date on which that location ceases to be a part of the participating institution.

WILLIAM D. FORD FEDERAL DIRECT LOAN PROGRAM

If an institution participates in the William D. Ford Federal Direct Loan (Direct Loan) Program, the institution and its representatives shall comply with, as they become effective, the statute, guidelines, and regulations governing the Title IV, Part D, William D. Ford Federal Direct Loan Program as required by 20 U.S.C. §§ 1087a *et seq.* (Part C) and 34 C.F.R. Part 685.

The institution will:

1. Provide for the establishment and maintenance of a Direct Loan Program at the institution under which the institution will:

Identify eligible students who seek student financial assistance in accordance with Section 484 of the HEA.

Estimate the need of students as required under Title IV, Part F of the HEA.

Provide a certification statement of eligibility for students to receive loans that will not exceed the annual or aggregate limits, except the institution may exercise its authority, under exceptional circumstances identified by the Secretary, to refuse to certify a statement that permits a student to receive a loan, or certify a loan amount that is less than the student's determination of need, if the reason for such action is documented and provided in written form to a student.

Establish a schedule for disbursement of loan proceeds to meet the requirements of Section

428G of the HEA.

Reconcile institutional records with receipt and disbursement records on at least a monthly basis.

Provide timely and accurate information to the Secretary concerning 1) the status of students while in attendance, 2) any new information pertaining to student or parent borrowers of which the institution becomes aware after the student leaves the institution, and 3) student eligibility and need for Federal funds under Title IV, Part D of the HEA at such times and in such manner as prescribed by the Secretary.

2. Comply with requirements established by the Secretary relating to student loan information with respect to the Direct Loan Program.
3. Implement a quality assurance system, as established by the Secretary and developed in consultation with institutions of higher education, to ensure that the institution is complying with program requirements and meeting program objectives.
4. Not charge any fees of any kind, regardless of how they are described, to student or parent borrowers for loan application, or origination activities (if applicable), or the provision and processing of any information necessary for a student or parent to receive a loan under Title IV, Part D of the HEA.
5. Comply with the provisions regarding student claims and disputes of 34 C.F.R. § 685.300(d) (borrower defense claims in an internal dispute process), (e) (class action bans), (f) (pre-dispute arbitration agreements), (g) (submission of arbitral records), (h) (submission of judicial records), and (i) (definitions).
6. Originate loans to eligible students and parents in accordance with the requirements of Title IV, Part D of the HEA and use funds advanced to it solely for that purpose.
7. Provide that the note or evidence of obligation of the loan shall be the property of the Secretary.
8. Comply with other provisions as the Secretary determines are necessary to protect the interests of the United States and to promote the purposes of Title IV, Part D of the HEA.
9. Accept responsibility and financial liability stemming from its failure to perform its functions under this Program Participation Agreement.
10. Accept responsibility and financial liability stemming from losses incurred by the Secretary for repayment of amounts discharged by the Secretary pursuant to 34 C.F.R. §§ 685.206, 685.214, 685.215, 685.216, and 685.222.

CERTIFICATIONS REQUIRED FROM INSTITUTIONS

The Institution should refer to the regulations cited below. Signature on this Agreement provides for compliance with, as they become effective, the certification requirements under 34 C.F.R. Part 82, "New Restrictions on Lobbying," 34 C.F.R. Part 84, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)," 2 C.F.R. Part 180, Subpart C, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. Part 3485, "Nonprocurement Debarment and Suspension," and 34 C.F.R. Part 86, "Drug and Alcohol Abuse

Prevention." Breach of any of these certifications constitutes a breach of this Agreement.

PART 1 CERTIFICATION REGARDING LOBBYING; DRUG-FREE WORKPLACE; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG AND ALCOHOL ABUSE PREVENTION

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 C.F.R. Part 82, for persons entering into a Federal contract, grant or cooperative agreement over \$100,000, as defined at 34 C.F.R. Part 82, §§ 82.105 and 82.110, the undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The Institution shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

2a. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 C.F.R. Part 84, Subpart B, for grantees, as defined at 34 C.F.R. Part 84, §§ 84.200 through 84.230 -

The Institution certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a drug-free workplace statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an on-going drug-free awareness program to inform employees about-
 - (1) The dangers of drug abuse in the workplace;
 - (2) The Institution's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
 - (1) Abide by the terms of the statement, and
 - (2) Notify the employer in writing if he or she is convicted for a violation of a criminal drug statute occurring in the workplace no more than five calendar days after such conviction;
- (e) Notifying the agency, in writing, within 10 calendar days after receiving notice under this subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted -
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1972, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

2b. Drug-Free Workplace (Grantees Who Are Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 C.F.R. Part 84, Subpart C, for recipients who are individuals, as defined at 34 C.F.R. Part 84, § 84.300 -

- 1. As a condition of the grant, the Institution certifies that it will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity related to the award; and
- 2. If any officer or owner of the Institution is convicted of a criminal drug offense resulting from a violation occurring during the conduct of any award activity, the Institution will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Notice shall include the identification number(s) of each affected grant.

3. Debarment, Suspension, and Other Responsibility Matters

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 C.F.R. Part 180, for prospective participants in primary covered transactions as defined at 2 C.F.R. Part 180, §§ 180.200 and 180.210, the Institution certifies that it and its principals (per 2 C.F.R. § 180.335):

- (a) Are not presently debarred, suspended, proposed for debarment, voluntarily excluded, or disqualified;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction; violation of Federal or State antitrust statutes, including those proscribing price fixing between competitors, allocation of customers between competitors, and bid rigging; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice;

or commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects their present responsibility.

- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

4. Drug and Alcohol Abuse Prevention

As required by the Drug-Free Schools and Communities Act Amendments of 1989, which added section 1213 to the Higher Education Act, and implemented at 34 C.F.R. Part 86, the undersigned Institution certifies that it has adopted and implemented a drug prevention program for its students and employees that, at a minimum, includes--

1. The annual distribution in writing to each employee, and to each student who is taking one or more classes for any kind of academic credit except for continuing education units, regardless of the length of the student's program of study, of:
 - Standards of conduct that clearly prohibit, at a minimum, the unlawful possession, use, or distribution of illicit drugs and alcohol by students and employees on its property or as part of any of its activities.
 - A description of the applicable legal sanctions under local, State or Federal law for the unlawful possession or distribution of illicit drugs and alcohol.
 - A description of the health risks associated with the use of illicit drugs and the abuse of alcohol.
 - A description of any drug or alcohol counseling, treatment, or rehabilitation or re-entry programs that are available to employees or students.
 - A clear statement that the Institution will impose disciplinary sanctions on students and employees (consistent with local, State and Federal law), and a description of those sanctions, up to and including expulsion or termination of employment and referral for prosecution, for violation of the standards of conduct. A disciplinary sanction may include the completion of an appropriate rehabilitation program.
2. A biennial review by the Institution of its program to:
 - Determine its effectiveness and implement changes to the program if they are needed.
 - Ensure that its disciplinary sanctions are consistently enforced.

**PART 2 CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
DISQUALIFICATION, AND VOLUNTARY EXCLUSION -- LOWER
TIER COVERED TRANSACTIONS**

The Institution is to obtain the signatures of Lower Tier Contractors on reproduced copies of the certification below, and retain the signed certification(s) in the Institution's files.

CERTIFICATION BY LOWER TIER CONTRACTOR (Before Completing Certification, Read Instructions for This Part, below)	
(1) The prospective lower tier participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, voluntarily excluded, or disqualified from participation in this transaction by any Federal Department or Agency.	
(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.	
_____ Name of Lower Tier Organization	_____ PR/Award Number or Project Name
_____ Name of Authorized Representative	_____ Title of Authorized Representative
_____ Signature of Authorized Representative	_____ Date

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "disqualified," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Disqualification, and

Voluntary Exclusion--Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, disqualified, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, disqualified, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

NOTE: A completed copy of the "Certification Regarding Debarment, Suspension, Disqualification and Voluntary Exclusion--Lower Tier Covered Transactions" form must be retained by the Institution. The original blank certification must be returned with the PPA.

IN WITNESS WHEREOF

the parties hereto have caused this Agreement to be executed by their duly authorized representatives.

Signature of Institution's
Chief Executive Officer
(CEO)/President/Chancellor:



Date: 11/20/23

Print Name and Title:

Timothy Caboni, President

For the Secretary:
U.S. Department of Education



Date: 11/21/2023